Mechanisation of the AKS Algorithm

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Outline

Introduction Road Map



Work Progress

- View in 2016
- View in 2017
- AKS in HOL4
- Primality Testing

3 Look Ahead

- Plans
- Publications

Introduction

Road Map

Mechanisation of AKS Algorithm - Road Map



Back in 2016

Revised:

- Need: to gather information about Cyclotomic factors.
- Need: to establish the existence of Finite Fields.
- Key: obtain a count of monic irreducibles for a given degree.
- Todo: Reformalute AKS proof with a simple parameter *k*.

Achieved:

- Search for simple *k* is *O*(*log n*) if an LCM bound is true.
- A short joint paper to ITP2016 for a cute proof of this result: $2^n \leq LCM \{1; 2; 3; ...; (n+1)\}.$
- An implicit formula for the monic irreducibles count.
- A finite field exists with cardinality p^n , for prime p and 0 < n.

Now in 2017

Achieved:

- Worked out a theory of Cyclotomic factors.
- Removed the prime requirement on AKS parameter.
- Reformulated the AKS proof with a simple parameter *k*.
- Submitted a paper on AKS mechanisation work to JAR.
- Submitted a paper on Finite Field classification to JAR.
- Submitted an extended version of the consecutive LCM bound to JAR.

To Do:

- Investigate a computational model to analyse algorithm.
- Working on: the use of separation logic in computational analysis.
- Working on: include a clock to count the number of steps in code execution.

The Theorem

Theorem

The AKS Primality Test.

 \vdash prime $n \iff$ AKS n

The Algorithm

The algorithm starts with a power free test, then performs a parameter search (AKS_param):

```
\begin{array}{rcl} \mathsf{AKS} & n \iff & \\ 1 &< n \ \land \ \mathsf{power\_free} & n \ \land & \\ \mathbf{case} & \mathsf{AKS\_param} & n \ \mathbf{of} & \\ & \mathsf{nice} & j \ \Rightarrow & j \ = \ n & \\ & | & \mathsf{good} & k \ \Rightarrow & \mathsf{poly\_checks} & n \ k & (\sqrt{\varphi(k)} \times \lceil \log n \rceil) \\ & | & \mathsf{bad} \ \Rightarrow \ \mathsf{F} & \end{array}
```

The Algorithm

The algorithm starts with a power free test, then performs a parameter search (AKS param):

```
AKS n \iff
   1 < n \land power free n \land
   case AKS_param n of
       nice i \Rightarrow i = n
       good k \Rightarrow poly_checks n k (\sqrt{\varphi(k)} \times \lceil \log n \rceil)
      bad \Rightarrow F
```

Of the 3 result from the search:

- a nice i takes a single check for TRUE or FALSE,
- a good k needs further polynomial checks, and
- bad never happens.

The Pseudo Code



Is 91 a prime?

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Trial division (known since antiquity)

- not divisible by 2, 3, 5.
- but divisible by 7, so COMPOSITE.

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Fermat's method (around 1640)

• $91 = 100 - 9 = 10^2 - 3^2$, must be COMPOSITE.

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• by
$$x^2 - y^2 = (x - y)(x + y)$$
, $91 = (10 - 3)(10 + 3) = 7 \times 13$.

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AKS method (August 2002)

- Search: found nice 7 that divides 91, so COMPOSITE.
- Even if this is missed, polynomial check gives:

• SO COMPOSITE.

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Fermat's method (around 1640)

• note $10^2 = 100$ is nearest to 97, try $97 = 10^2 - y^2$, fail.

• fail
$$97 = 11^2 - y^2 = \cdots = 48^2 - y^2$$
 where $48 \approx \frac{97}{2}$, so PRIME.

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Fermat's method (around 1640)

• note $10^2 = 100$ is nearest to 97, try $97 = 10^2 - y^2$, fail.

• fail $97 = 11^2 - y^2 = \cdots = 48^2 - y^2$ where $48 \approx \frac{97}{2}$, so PRIME.

AKS method (August 2002)

- Search: found good 59.
- Polynomial checks:

▶
$$(x + 1)^{97} \equiv x^{97} + 1 \pmod{97}, x^{59} - 1$$
 ok,
▶ $(x + 2)^{97} \equiv x^{97} + 2 \pmod{97}, x^{59} - 1$ ok, ..., up to
▶ $(x + 48)^{97} \equiv x^{97} + 48 \pmod{97}, x^{59} - 1$, all ok.

• SO PRIME.

Plans

Possible Timeline

Thesis plan:

April, 2015: AKS Main Theorem (with suitable prime k)
June, 2016: AKS Main Theorem (with suitable k)
October, 2016: AKS Computational Steps Identification
December, 2017: Computational Model for AKS algorithm
September, 2018: Thesis written (hopefully!)

Publications

Publications:

- CPP2012: A String of Pearls: Proofs of Fermat's Little Theorem
- JFR2013: Extended version for Journal of Formalized Reasoning
- ITP2015: Mechanisation of AKS Algorithm Part 1: Main Theorem
- ITP2016: Bounding LCMs with Triangles (a simple lower bound)
- JAR2017: (accepted) Bounding LCMs with Triangles (both lower and upper bounds)
- JAR2017? (rejected) Mechanisation of AKS Algorithm (revised and improved)
- JAR2017: (subject to revision) Classification of Finite Fields with Applications