

## Application of the proof strategy

Let  $A$  stands for the formula

```
(and (integerp n)
      (integerp key)
      (integerp entr)
      (integer-listp a)
    )
```

Conjuncts in  $A$  state that variables  $n$ ,  $key$ ,  $entr$  are integers and  $a$  is an integer list.

Let  $B$  denotes formula

```
(and (< 0 n)
      (<= n (length a))
      (< 0 entr)
    )
```

with an obvious meaning.

The formula  $C$  of the form

```
(<= entr (cnt 0 (- n 1) key a))
```

states that the number of occurrences of  $key$  within subsequence of  $a$  in between indices 0 and  $n - 1$  is not less than  $entr$ .

Another abbreviation  $D$  stands for

```
(= (rep2 n key entr a) 1)
```

meaning that the variable  $result$  is equal to 1 just after execution of a loop which can be modeled by invocation of function  $rep2$  with arguments  $n$ ,  $key$ ,  $entr$  and  $a$ .

The formula  $E$

```
(> entr (cnt 0 (- n 1) key a))
```

obviously is negation of  $C$ .

The formula  $F$

```
(= (rep2 n key entr a) 0)
```

is a counterpart of  $D$  corresponding to unsuccessful search for  $key$  during loop execution.

The formula  $J$

```
(= entr (rep1 n key entr a))
```

expresses equality of values of  $entr$  and  $cnt$  just after execution of the loop. According to the symbolic verification method this execution is modeled by invocation of function  $rep1$  with arguments  $n$ ,  $key$ ,  $entr$  and  $a$ .

Finally, the formula  $K$  of the form

(zp n)

states that  $n$  is an integer greater than zero.

Now we aggregate these meta-formulas into bigger ones. Let  $L \equiv A \wedge B \wedge C$  and  $M \equiv A \wedge B \wedge E$ . The initial underlying theory in this case is the definition of `cnt`, `rep1` and `rep2`.

Just before the step (1) of our algorithm the VC  $\phi$  corresponds to the following pattern:

$$A \Rightarrow (B \Rightarrow ((C \Rightarrow D) \wedge (E \Rightarrow F))).$$

The step (1) transforms  $\phi$  into  $\phi_1$  corresponding to the pattern

$$(L \Rightarrow D) \wedge (M \Rightarrow F).$$

Note that at this moment the equivalence still holds.

The step (2) does not change  $\phi_1$ , so we proceed to step (3). It generates automatically a non-recursive definition for the function `rep2`. This new definition is equivalent to the original one since ACL2 was able to prove automatically by induction on  $n$  the following theorem:

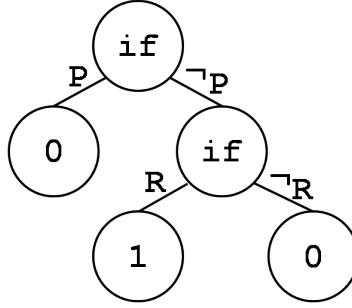
$$(A \wedge B \wedge \neg J) \Rightarrow F.$$

I asserts that whenever the loop exit condition  $J$  is false, the value of `rep2` is equal to the initial value of `result`, i.e. 0. In the process of replacement operation generation we can determine that within the body of `rep2` the function `rep1` does not invoke any other function but itself. This guarantees automatically that `rep2` was redefined in a non-recursive way.

Let us consider such a non-recursive redefinition:

```
(defun rep2(i key entr a)
  (if
    (zp i)
    0
    (if
      (= entr (rep1 i key entr a))
      1
      0
    )
  )
)
```

It results in the following tree representation of `rep2`:



Let us denote it as  $rep2\_tree$ . The edge labeled by  $P$  corresponds to formula  $(z p i)$ , whereas  $R$  stands for  $(= \text{entr } (rep1 \ i \ key \ \text{entr } a))$ .

The repeating application of the step (1) takes into consideration the sub-formula  $D$  in  $\phi_1$  because it contains an invocation of  $rep2$ . The new representation  $rep2\_tree_1$  results from  $rep2\_tree$  after replacement of all variables in edge labels by corresponding arguments of  $rep2$  invocation in formula  $D$ . Actually, this replacement looks like  $(i \leftarrow n, key \leftarrow key, entr \leftarrow entr, a \leftarrow a)$ . Let labels  $P_1, \neg P_1, R_1$  and  $\neg R_1$  denote  $P, \neg P, R$  and  $\neg R$  correspondingly after such substitution. If we recall our meta-formulas from the starting paragraphs then obviously  $P_1$  is  $K$  and  $R_1$  is  $J$ .

Now, replacing  $D$  in  $\phi_1$  by conjunction of specific implications provided by  $rep2\_tree_1$  we construct the formula  $\phi_2$ :

$$(L \Rightarrow ((K \Rightarrow (0 = 1)) \wedge ((\neg K \wedge J) \Rightarrow (1 = 1)) \wedge ((\neg K \wedge \neg J) \Rightarrow (0 = 1)))) \wedge (M \Rightarrow F)$$

What is the conclusion of every such implication? We merely replace  $rep2$  in  $D$  with an expression defined by implication antecedent. The first implication corresponds to the path in  $rep2\_tree_1$  traversing the edge  $P_1$ . By analogy, the second implication addresses the path over  $\neg P_1$  and  $R_1$ . Finally, the third one relates to the path over  $\neg P_1$  and  $\neg R_1$ .

Next, we consider sub-formula  $F$  in  $\phi_1$ . By analogy with  $rep2\_tree_1$  we build up  $rep2\_tree_2$ . It turns out that  $rep2\_tree_2 = rep2\_tree_1$ .

The tree  $rep2\_tree_2$  provides us with another set of special implications. If we substitute their conjunction instead of  $F$  then we transform  $\phi_2$  into  $\phi_3$ :

$$(L \Rightarrow ((K \Rightarrow (0 = 1)) \wedge ((\neg K \wedge J) \Rightarrow (1 = 1)) \wedge ((\neg K \wedge \neg J) \Rightarrow (0 = 1)))) \wedge (M \Rightarrow ((K \Rightarrow (0 = 0)) \wedge ((\neg K \wedge J) \Rightarrow (1 = 0)) \wedge ((\neg K \wedge \neg J) \Rightarrow (0 = 0))))$$

The obvious logical rewritings (like de Morgan laws or  $\neg\phi \vee \psi \equiv \phi \rightarrow \psi$ ) transform  $\phi_3$  into  $\phi_4$

$$\begin{aligned} & (L \Rightarrow \\ & \quad ((\neg K \vee (0 = 1)) \wedge \\ & \quad ((K \vee \neg J) \vee (1 = 1)) \wedge \\ & \quad ((K \vee J) \vee (0 = 1)))) \wedge \\ & \quad (M \Rightarrow \\ & \quad ((\neg K \vee (0 = 0)) \wedge \\ & \quad ((K \vee \neg J) \vee (1 = 0)) \wedge \\ & \quad ((K \vee J) \vee (0 = 0)))) \end{aligned}$$

which in turn reforms into a more normalized  $\phi'$ :

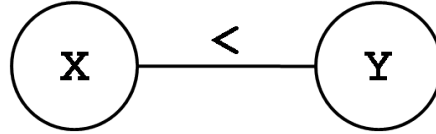
$$\begin{aligned} & (L \Rightarrow (\neg K \vee (0 = 1))) \wedge \\ & (L \Rightarrow ((K \vee \neg J) \vee (1 = 1))) \wedge \\ & (L \Rightarrow ((K \vee J) \vee (0 = 1))) \wedge \\ & (M \Rightarrow (\neg K \vee (0 = 0))) \wedge \\ & (M \Rightarrow ((K \vee \neg J) \vee (1 = 0))) \wedge \\ & (M \Rightarrow ((K \vee J) \vee (0 = 0))) \end{aligned}$$

Note that until this very step we preserve equivalence of our formulas.

Since  $\phi$  has changed we can repeat steps (1)–(4). Exactly, the step (2) may transform the following disjunct of  $\phi'$ :

$$S \equiv (M \Rightarrow ((K \vee \neg J) \vee (1 = 0))).$$

The relation graph has been produced for  $S$ . Consider the following component of the graph:



The label  $X$  stands for  $(cnt\ 0\ (-\ n\ 1)\ key\ a)$  whereas  $Y$  means  $entr$ . Remind that this graph component is actually formula  $E$ .

Which subgoal will lead to modification of  $\phi'$ ? In fact it is  $\neg J$  corresponding to the pattern  $g(c, d)$  where  $g$  is " $\neq$ ",  $c$  is  $entr$  and  $d$  is  $(rep1\ n\ key\ entr\ a)$ . So, the searching procedure begins to look for a function call corresponding to the variable  $entr$ . The search begins at node  $X$ . During the search a subgraph of the relation graph emerges. This subgraph is exactly the component demonstrated above. The expression  $(cnt\ 0\ (-\ n\ 1)\ key\ a)$  is the function call we were looking for. The conjunct  $E$  is the path we need. So, the expression  $(cnt\ 0\ (-\ n\ 1)\ key\ a)$  must be assigned to variable  $v$ , and  $E$  becomes the value of  $q$ .

As a result we have the new formula

$$T \equiv (= (cnt\ 0\ (-\ n\ 1)\ key\ a) (rep1\ n\ key\ entr\ a)).$$

Let  $Z$  denote the disjunct  $S$  after replacement of the goal  $\neg J$  by  $T$ :

$$(M \Rightarrow ((K \vee T) \vee (1 = 0))).$$

Note that  $Z \not\sim S$  but the truth of  $S$  follows from the truth of  $Z$ . So we may replace  $S$  by  $Z$  in  $\phi'$  which results in formula  $\phi''$ :

$$\begin{aligned} & (L \Rightarrow (\neg K \vee (0 = 1))) \wedge \\ & (L \Rightarrow ((K \vee \neg J) \vee (1 = 1))) \wedge \\ & (L \Rightarrow ((K \vee J) \vee (0 = 1))) \wedge \\ & (M \Rightarrow (\neg K \vee (0 = 0))) \wedge \\ & (M \Rightarrow ((K \vee T) \vee (1 = 0))) \wedge \\ & (M \Rightarrow ((K \vee J) \vee (0 = 0))) \end{aligned}$$

And here it is, the result of our strategy. On the step (6) ACL2 is able to prove  $\phi''$  by induction on  $n$  thus validating the original VC  $\phi$ .